

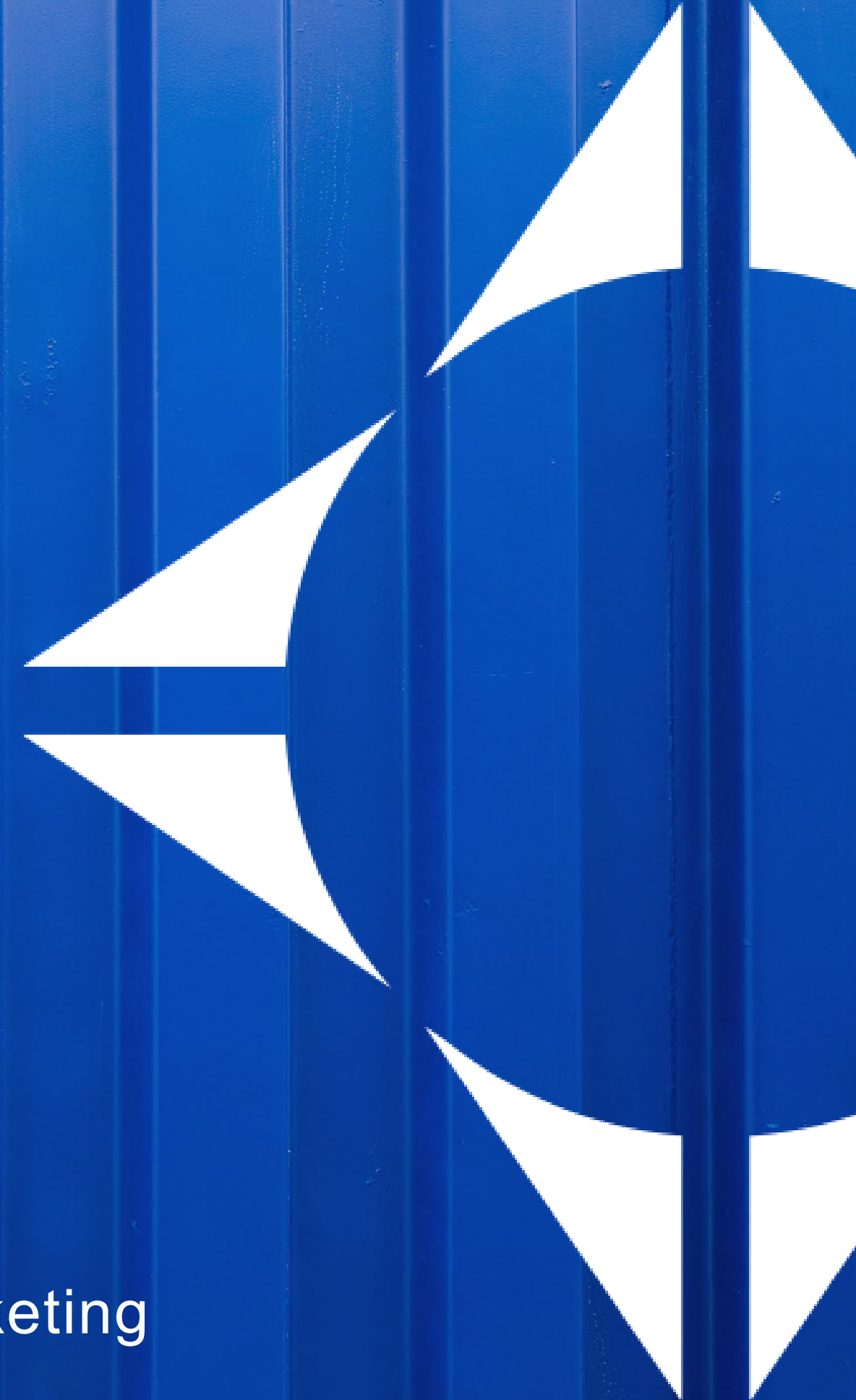
# ACE Decoded

Simplifying Importer Applications,  
ACH Refunds & CAPE

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# How to Receive a Refund?

- Effective February 6, 2026, CBP requires ACH enrollment to receive refunds
  - Federal Register Notice 2025-24171 (91 FR 21)
  - Presidential Executive Order 14247 mandates the transition to electronic payments for all federal disbursements
- ACH Waiver Process: 31 CFR 208.4 – extremely limited. Lack of U.S. bank is not acceptable.
- **ACH enrollment is in the ACE Portal**
- CBP ACE Portal is a FREE web-based entry point for ACE, providing importers and exporters centralized access to trade data, reporting, and compliance tools.



# Electronic Refund Enrollment in CBP's ACE Portal

Importers and other companies (including CBP Form 4811 "Notify Parties") that may receive customs-related refunds from U.S. Customs and Border Protection (CBP) can sign up and manage Automated Clearing House (ACH) refund information via an Automated Commercial Environment Secure Data Portal (ACE Portal) account.

## Follow the steps below to access CBP's ACH refund features:

### Step 1: Ensure ACE Portal Access with the Importer Sub-account View

- For companies with an existing ACE Portal account that includes the Importer sub-account, proceed to Step 2.
- For companies with an existing ACE Portal account that does not include the Importer sub-account, learn how to request the Importer sub-account on [CBP's Managing an ACE Portal Account webpage](#).
- For companies without ACE Portal access:
  - **IMPORTANT:** First, ensure that CBP's importer (Form 5106) record for your company is up-to-date and includes an email address associated with your company's physical (not mailing) address. *This email address is required for authentication during the ACE Portal account application process.* If necessary, ask your customs broker to update this email address. Do not use your broker's email address.
  - Complete [CBP's Automated ACE Portal Account Application](#) to create an account with the Importer sub-account view.  
*Note: This automated application is only for companies with importer (Form 5106) records that are not associated with an existing ACE Portal account*

For help completing the automated application, review [CBP's Importer Account Application training guide](#).

### Step 2: Use the ACE Portal to Authorize and Manage Electronic Refunds

- Log in to your ACE Portal top account as your company's ACE Portal Trade Account Owner (TAO) or another authorized account user.
- Navigate to the Importer sub-account view and locate the ACH Refund Authorization tab.
- View, add, and update U.S. bank information for receiving refunds.

For help using the ACH refund features in the ACE Portal, review [CBP's ACH Refund Authorization training guide](#).

CBP Publication # 5286-1225

### Related Training Guides

#### ACE Portal Importer Account Application



#### ACE Portal ACH Enrollment Tool



#### ACE Refund Report



**REMEMBER:** ACE Portal users with Importer sub-account access can run the REV-603 Trade Refund report in ACE Reports to view data about pending and successful refunds. For help running the report, review the [ACE Refund Report training guide](#). An ACE Report to view data about rejected refunds is being developed.

# ACE Portal | Simple Importer Application

Application for an ACE Portal Top Account with the Importer Sub-account View

**Attention:**  
This application form enables trade parties (without current ACE Portal account access) to request the creation of an ACE Portal top account with access to a single Importer (CBP Form 5106 record) sub-account view. Approved completion of this form will result in the creation of a top account, the assignment of an account owner, and the association of an entity's 5106 record to the new top account.

**Instructions:**

- Enter the required information in the fields below and click **Next**.
- CBP will send a system-generated verification code to the email address that is on file in the CBP Form 5106 record. The verification code will be valid for 10 minutes.
- Enter the system-generated code to complete the request.  
*oIMPORTANT: Prior to completing and submitting this form, CBP advises applicants to coordinate with the point of contact listed on the applicable CBP Form 5106 record to ensure timely access to the system-generated verification code*


**Note:**  
To complete this access request successfully:

- The *Business Email Address* provided may not be tied to an existing account owner or account user.
- The *Importer Record Number* provided must correspond to an on-file CBP Form 5106 record with a valid email address, and
- The *Company Name* provided must match the company name in the CBP Form 5106 record.

\* Company Name

\* Importer Record Number (IR#)

\* Business Email Address (Account Owner Login Email)



<https://ace-accounts.cbp.gov/s/importer-form>

This application ONLY applies to those trade parties with an existing CBP Form 5106 (5106 record) on file and without an existing ACE Portal account access

# ACE Portal | Simple Importer Application

Detailed instructions are provided in the user guide posted here: [Automated ACE Portal Account Application for Importers](#)

- Enter the required information in the application
- CBP will send a system-generated verification code to the email address on file
- Enter the system-generated code to complete the application

**Quick tips for successful application**

- The *Business Email Address* provided must be a valid email address
- The *Business Email Address* can be different from the email address on file
- The *Importer of Record Number* (IR#) must be the same as the IR# on file

**No Email Address On File**

CBP does not have an email address on file associated with the Importer of Record number provided.

To create your ACE Portal account, CBP needs to send a verification code to the email address we have in our records for your company. Contact your customs broker to have an email address for your company added to your Importer (5106) record. The email address should be associated with your company's physical address in the Importer (5106) record, as opposed to its mailing address. After your email address has been added, please allow 24 hours for CBP systems to synchronize.

\* Company Name

\* Importer of Record Number (IR#)

\* Business Email Address (Account Owner Login Email)

## NO EMAIL ADDRESS ON FILE

Update the 5106 w/email – allow 24 hours for ABI to update ACE

# ACE Portal | Simple Importer Application

account, the assignment of an account owner, and the association of an entity's 5106 record to the new top account.

*This application is ONLY for trade...*

- Has an existing Importer (5106) record
- There is no existing Trade Account Owner (TAO)
- Designated Trade Account Owner (TAO)

**IMPORTANT:**  
As part of this application, CBP will send a system-generated verification code to the existing point of contact email address on file with CBP in the Importer (5106) record. If appropriate, coordinate with a broker to confirm and/or update the point of contact email address. Prior to completing and submitting this form, CBP advises applicants to coordinate with the point of contact listed on the applicable Importer (5106) record to ensure timely access to the system-generated verification code.

**Instructions:**  
Detailed instructions are provided in the user guide posted here: [Automated ACE Portal Account Application for Importers](#)

- Enter the required information in the fields below and click **Next**.
- CBP will send a system-generated verification code to the POC email address that is on file in the Importer (5106) record. The verification code will be valid for 10 minutes.
- Enter the system-generated code that is sent to the POC email address that is on file in the Importer (5106) record to proceed with the application request.

**Quick tips for successful completion for the fields below:**

- The *Business Email Address* provided may not be tied to an existing ACE portal account owner or user.
- The *Business Email Address* can be different from the POC email address that is on file in the Importer (5106) record.
- The *Importer of Record Number (IR#)* provided must match an on file Importer (5106) record which has a valid POC email address.

\* Company Name

\* Importer of Record Number (IR#)

\* Business Email Address (Account Owner Login Email)

## ACE PORTAL ACCOUNT ALREADY EXISTS

Check within your company, trade, legal, import/export teams

If unknown, contact ACE support or amend application with new TAO

# How Do I Know if I Have an ACE Account?

## Check internally:

- Import department
- Export department
- Legal
- Administrative

Contact ACE Support for help identifying the account or Trade Account Owner (TAO).

- Email [ace.support@cbp.dhs.gov](mailto:ace.support@cbp.dhs.gov)
  - Provide full legal name and EIN, SSN, Customs Assigned Number

## OR

- Submit an application to update the TAO
- <https://aceaccountreview.cbp.gov/>

# ACE Account Application – Revision

## ACE Secure Data Portal Account Application

### Instructions

Please complete the form below to request an ACE Secure Data Portal Account. Submit this completed document to U.S. Customs and Border Protection (CBP) by providing the requested information in the spaces below.

If you need to create a new Importer record, please follow [this link](#) and complete the Application for NEW ACE Portal Top Account with the Importer Sub-Account View.

\* Red asterisk fields are required.

1

Account  
Information

2

Account Owner

3

Point of Contact

4

Authorization

5

Review & Submit

### Section A. Account Information

Check this box if this form is being completed as a revision to a previous submission

Application Number (000000000)

0000000000

If this is a change to an active account, please specify (select N/A if there are no changes being requested)

TAO or AO

# ACE Portal Components

**Top Account:** ACE Portal access begins with a company's top account, under which all other company activities occur.

**Sub-Accounts:** Sub-account views are aligned to the company's business activities (e.g., importer, exporter, carrier, etc.) and determine the functionality available to users under their company's top account.

- ACH refund is under a sub-account importer view

**User Profiles:** There are three types of ACE Portal trade user profiles:

- Account Owner (Trade Account Owner / TAO)
- Proxy Account Owner
- Account User

# How Do I Determine the TAO in an Existing Account?

Accounts > Top Account > Contacts > Account Owner

The screenshot displays the ACE system interface. At the top, the ACE logo is on the left, and a search bar is on the right. Below the logo, the navigation menu includes Home, Accounts (highlighted with a red box), Account Search, References, and Tools. On the left side, the Account Navigation pane shows a tree view with 'Incorporated' and 'Top Account' (both highlighted with red boxes) under the 'Accounts' section. The main content area shows the 'Account Name' field, followed by 'ACE ID' and 'Status'. Below this, the 'Details' tab is selected, and the 'Contacts' sub-tab is highlighted with a red box. The 'Related Contacts' section shows a table with 5 records. The second record, 'Account Owner', is highlighted with a red box and marked with a blue star and 'TAO' label. The table columns are Type, Last Name, First Name, Phone, and Email.

Type	Last Name	First Name	Phone	Email
1 Technical POC				
2 Account Owner				
3 Port POC				
4 Alternate POC				
5 Alternate POC				

# How Do I Determine the TAO in an Existing Account?

**Tools > Search by Company > View User Role Trade Account Owner**

The screenshot displays the ACE system interface. At the top, a navigation bar includes the ACE logo, a search bar, and a user profile icon. Below this, a secondary navigation bar contains links for Home, Accounts, Account Search, References, and Tools. The 'Tools' link is highlighted with a red box. The main content area is titled 'User Account Administration' and 'User Access'. A search bar is present, with a red box around the search input field. Below the search bar, a table of search results is shown, with columns for Name, Email, Legacy User ID, Status, User Role, and Cross Account Access. The first row is highlighted, and the 'Trade Account Owner' role is circled in red. A 'Give Us Feedback' button is located at the bottom right of the table.

ACE Reports is now accessible via the References tab. For help with ACE Reports, review the training resources on the [ACE Training webpage](#).

ace

Enter Account Name, Type, ACE ID.....

Home Accounts Account Search References **Tools**

User Account Administration

User Access

Add User

Search List... Active Search

Search Results  
Showing 71 / 127 Results

Name	Email	Legacy User ID	Status	User Role	Cross Account Access
<input checked="" type="checkbox"/>			Active	<b>Trade Account Owner</b>	No
<input type="checkbox"/>			Active	Proxy Trade Account Ow...	No
<input type="checkbox"/>			Active	Trade Account User	No

Give Us Feedback

# I Have an ACE Portal Account, How Do I Add ACH Refund?

- The Top Account must have an importer subaccount
- The TAO reports the banking information in the ACH Refund Authorization tab
  - The TAO can grant access / view permission to a proxy or trade user

Account Name  
Stained Glass Inc

Record Type Name: Importer | ACE ID: 0000340096 | IR #: 253801-07316 | Status: Active

Forms | Reports

Details | Contacts | Addresses | Related Businesses | Notify Parties | Drawback | Bonds | Declarations | Statements | **ACH Refund Authorization**

▼ Importer Information

Account Name Stained Glass Inc	Full Legal Importer Name
ACE ID 0000340096	Taxpayer ID Type CBP Assigned Number
IR # 253801-07316	Center ID CEE008 - Consumer Products and Mass Merchandising
Entries Per Year	Organizational Structure Individual

**User Guide for ACE Portal Accounts ACH Enrollment**  
[Modernized ACE Portal - ACH Bank Information for Electronic Refunds](#)

FAQs: [ACE Portal and ACH Refunds FAQs](#)

# How Do I Add an Importer Subaccount?

**Importer sub-account is needed for each unique IOR number, even if the same bank account will be used.**

To create the Importer sub-account for each unique IOR number, follow these steps:

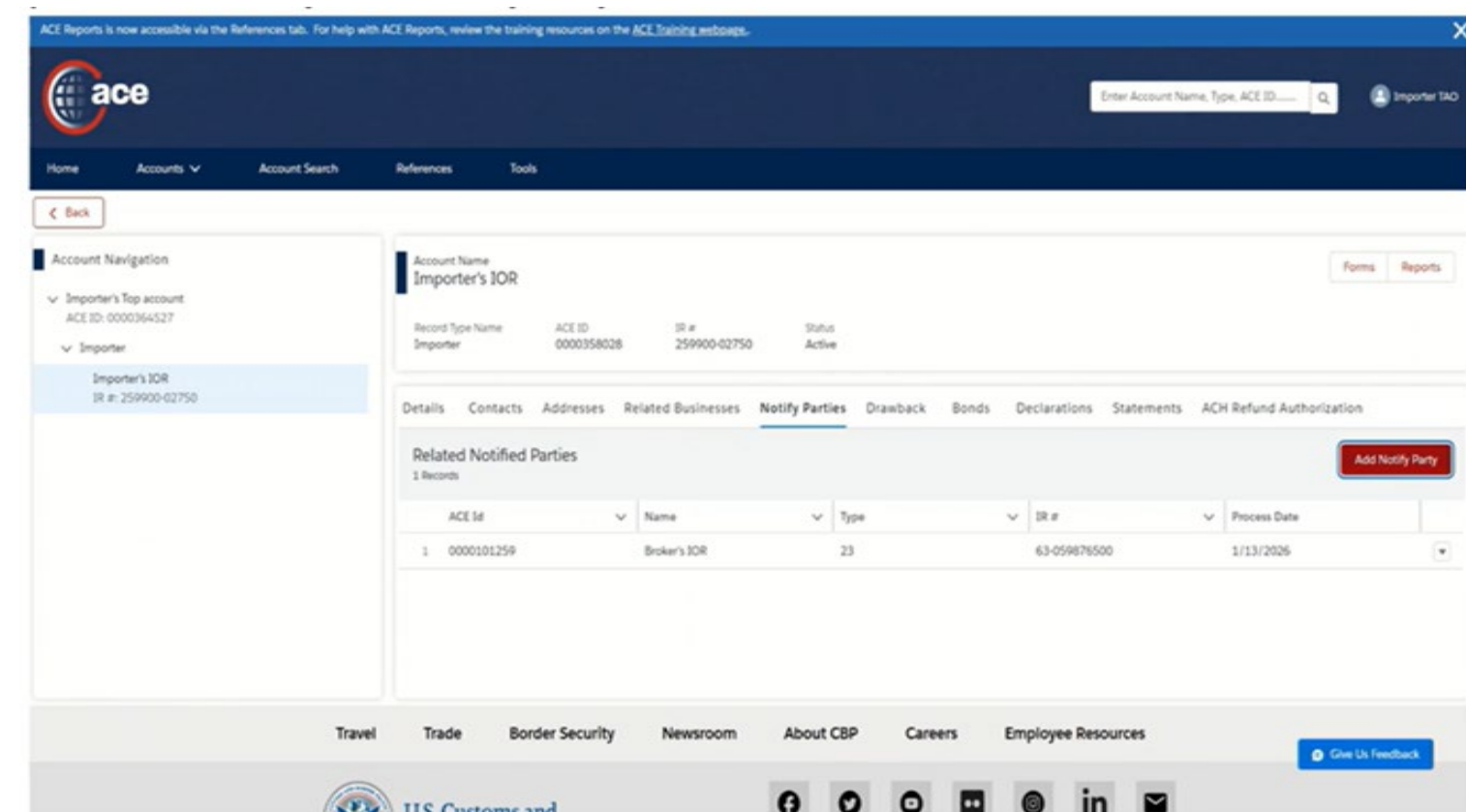
- ✓ Submit a request letter to CBP via email, on company letterhead, signed by the TAO or a legally authorized company official
- ✓ **IMPORTANT:** When submitting a request to add one or more sub-accounts to an existing top account, ensure that company information (e.g., company name, company address) in the request is provided exactly as it was on the originally submitted ACE Portal application form.
- ✓ Provide the necessary information related to the requested sub-account(s), including a list of all the IOR numbers for which access is needed.
- ✓ The full list of IOR numbers may also be provided in the body of the email message.
- ✓ Submit the application form via email to **ACE.Applications@cbp.dhs.gov**.

# How Do I Add an Importer Subaccount? (cont.)

Consider your subject line as **ACE application | Add Importer subaccount to existing portal**

In the body of the email consider reiterating the following:

- ✓ ACE ID #: ACE top account XXX
- ✓ Request to ADD: Importer sub account type
- ✓ Current Account Views
- ✓ Provide the name of the TAO (include first and last name)
- ✓ The POC/Individual for the Importer sub-account: ACE User Login ID: XXX
- ✓ EIN # (with suffix) you are trying to add (if multiple add)



# ACH Refund | Is a US bank required?

- All applicants are required to use a U.S. bank account and must provide the relevant account information.
  - Importers without a U.S. bank account must either open a U.S. bank account or designate a third party with a U.S. bank account consistent with 31 U.S.C. 3332(g)
  - If importer has a foreign bank account, they should ensure the foreign bank has a US correspondent bank that can accept ACH via a US ABA Routing Number in US Dollars. Customs edits against the valid ABA Routing Number. Importer should discuss with their bank.
- CBP will reject bank information if the bank routing number does not process FedACH payments. CBP advises that importers and other parties verify that applicable bank routing numbers process FedACH payments.
- As part of the ACH refund authorization process, CBP requires that foreign addresses (stored in the Importer sub-account view) are properly formatted, according to the foreign country's address standards. CBP advises that importers and other parties review and verify that applicable address information is provided in the proper format.

# Designating a Broker to Receive Refunds on your behalf. (CBP Form 4811)

An importer may designate a third party, such as a Mohawk, via CBP Form 4811 (Special Address Notification) to receive refunds.

- To designate a third party as the recipient of a refund, CBP provides two options.
  - The importer or its customs broker may fill out and submit CBP Form 4811 via an email message to the importer's assigned Center of Excellence and Expertise (Center).
    - The form can be found: [https://www.cbp.gov/sites/default/files/2026-01/cbp\\_form\\_4811.pdf](https://www.cbp.gov/sites/default/files/2026-01/cbp_form_4811.pdf)
  - The importer may also utilize the Notify Parties tab in the ACE Portal. Only the TAO for the importer will have the ability to add a designated third party in the Notify Parties Tab.

CBP strongly encourages importers to verify that their "4811 notify party" is set up to receive refunds via ACH.

# Where Does an Importer See What Parties Have Been Designated to Receive Funds?

The **Notify Parties** tab within each importer sub-account contains the parties authorized to receive funds if:

- ✓ The designated party is listed in the Notify Parties tab and
- ✓ Identification of the 4811 Notify Party on Entries, Protests or other CBP filings

The screenshot shows a web interface for an importer sub-account. At the top, there is a header with 'Account Name' and two buttons: 'Forms' and 'Reports'. Below this is a summary row with 'Record Type Name' set to 'Importer', 'ACE ID', 'IR #', and 'Status' set to 'Active'. A navigation bar contains several tabs: 'Details', 'Contacts', 'Addresses', 'Related Businesses', 'Notify Parties' (which is highlighted in yellow), 'Drawback', 'Bonds', 'Declarations', 'Statements', and 'ACH Refund Authorization'. Below the navigation bar is a section titled 'Related Notified Parties' which contains a table. The table has columns for 'ACE Id', 'Name', 'Type', 'IR #', 'Process Date', and 'Create By'. The first row in the table shows the number '1' in the first column and 'CONVERSION' in the last column.

ACE Id	Name	Type	IR #	Process Date	Create By
1					CONVERSION

# ACE Portal Account Resources

Mohawk Global ACE Portal Guide: [ACE SECURE DATA PORTAL](#)

One Page Overview: [ACH Refund Enrollment Overview](#)

FAQs: [ACE Portal and ACH Refunds FAQs](#)

Information Notice: [ACE Portal Updates to Enable Electronic Refund Enrollment](#)

Information Notice: [Automated ACE Portal Application for Importer Accounts](#)

Information Notice: [ACE Portal Feature for Trade Users to Add Notify Parties](#)

Training Guide: [ACE Portal Importer Account Application](#)

Training Guide: [ACH Refund Enrollment in the ACE Portal](#)

Training Video: [ACH Refund Enrollment in the ACE Portal](#)

Training Guide: [ACE Portal Feature to Add Notify Parties](#)

Training Guide: [ACE Refund Report](#)

Rejected ACH Refund Information: [Replacement Refund Instructions](#)

# Consolidated Administration and Processing of Entries (CAPE)

- Process to begin refund duties of IEEPA tariffs – launched on April 20, 2026
  - This is the only method for IEEPA refunds. PSCs are not allowed.
- The CAPE process is being deployed in phases. Phase 1 is now available.
  - Additional phases unknown
  - Not all entries in which IEEPA duties were paid are eligible for phase 1
- **An ACE portal is required.** The CAPE process is only available to importers or brokers who filed the original entry.
- Successful CAPE Declarations are mass processed
- The CAPE process continues to evolve

# Consolidated Administration and Processing of Entries (CAPE) Process

## CLAIM PORTAL

- Uploaded .CSV file Using the CBP format. Limited to 9,999 entries.
- The CSV file and the entries listed will be validated and you will receive a CAPE claim number.

## MASS PROCESSING

- All applicable IEEPA HTS CH 99 numbers will be removed at the entry summary line level
  - Your entry will be assigned a new version number.
  - ACE will recalculate the duties owed without IEEPA

## REVIEW AND LIQUIDATION

- Following CBP review, the entries will be liquidated or reliquidated
  - Certain scenarios, such as entries that are extended, suspended or under review, and warehouse entries, will maintain their liquidation status with validated refunds issued at liquidation.

# Consolidated Administration and Processing of Entries (CAPE)

## REFUND

- Approved refunds will be consolidated by IOR or the party designated via CBP Form 4811 and the liquidation date
- Refunds will generally be issued within 60-90 days following acceptance of the declaration
- If there is no ACH Refund account, the refund will not be issued
  - [Replacement Refund Instructions for Returned Checks and Rejected ACH Refunds](#)

## ACE Reports

- REV-615 Trade CAPE Details Refunds Report
- REV-613 ACH Rejected Refunds
- ES-022 CAPE Entry Summary Report

# Consolidated Administration and Processing of Entries (CAPE)



## Submission

- Log into your ACE Portal account
- CAPE tab
- Upload .csv file
- .csv file screened
- Entries validated
- Errors flagged
- Corrections made
- Claim number created



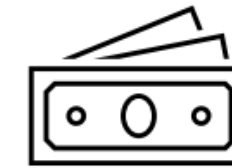
## Processing

- IEEPA duties removed
- Entry updated to new version
- Refund calculated



## Review and Liquidation

- CBP reviews entries
- CBP validates refunds
- CBP liquidates/reliquidates entries



## Refund

***Refund is issued through ACH payment to the IOR or Notify Party***

# Preparing your CAPE Declaration

In your ACE Portal account, you will click on the **CAPE** tab

The screenshot shows the ACE Portal account interface for 'Better Federa Filer'. At the top, there are buttons for 'PGA Documents (0)', 'Launch Cargo Portal', and 'Reports'. Below this, account details are listed: Record Type Name 'Filer', ACE ID '0000370610', Filer Code 'OS2', and Status 'Active'. A navigation bar contains tabs for 'Details', 'Contacts', 'Addresses', 'Entry Banks', 'Statements', and 'CAPE', with 'CAPE' highlighted in red. Underneath, there are sub-tabs for 'File Uploads' and 'Claim Status', with 'File Uploads' selected. A red 'Upload' button is visible on the right. The main content area is titled 'Consolidated Administration and Processing of Entries (CAPE) File Upload History' and shows '2 Records · Sorted By Submitted Date/Time'. A table header is visible with columns: 'File Upload Job #', 'Claim Number', 'File Name', 'Submitted ...', 'File Upload S...', and 'Submitted By'.

In the **CAPE Upload** dialog box, you then select the **CAPE Upload Template** hyperlink

The screenshot shows the 'CAPE Upload' dialog box. It features a red circular icon with a white person and document symbol. Below the icon, the text 'CAPE Upload' is displayed. Underneath, there are instructions: '1. Download this template below' followed by a red-bordered hyperlink 'CAPE Upload Template', '2. Add your data to the template file' with a sub-note 'Enable macros in Excel so that the .csv file is generated on save', and '3. Acknowledge'.

The screenshot shows an Excel spreadsheet template. The first row (row 1) has the header 'Entry Number' in cell A1. Cell A2 is highlighted with a red border, indicating where to enter data. The spreadsheet has columns labeled A through J and rows numbered 1 through 8. The browser tab at the bottom is titled 'ACEP\_CapeEntryNumberUploadTempl'.



Submission



# Certifying your CAPE Declaration

After you have prepared your .csv file, you certify your CAPE Declaration...

...and upload your file



Submission

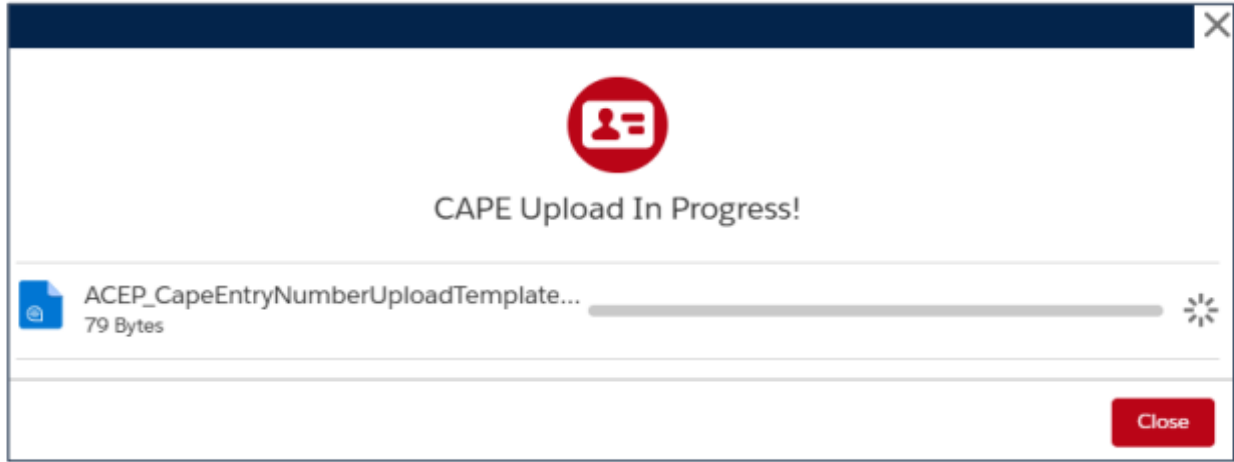
## CAPE Upload

Instructions

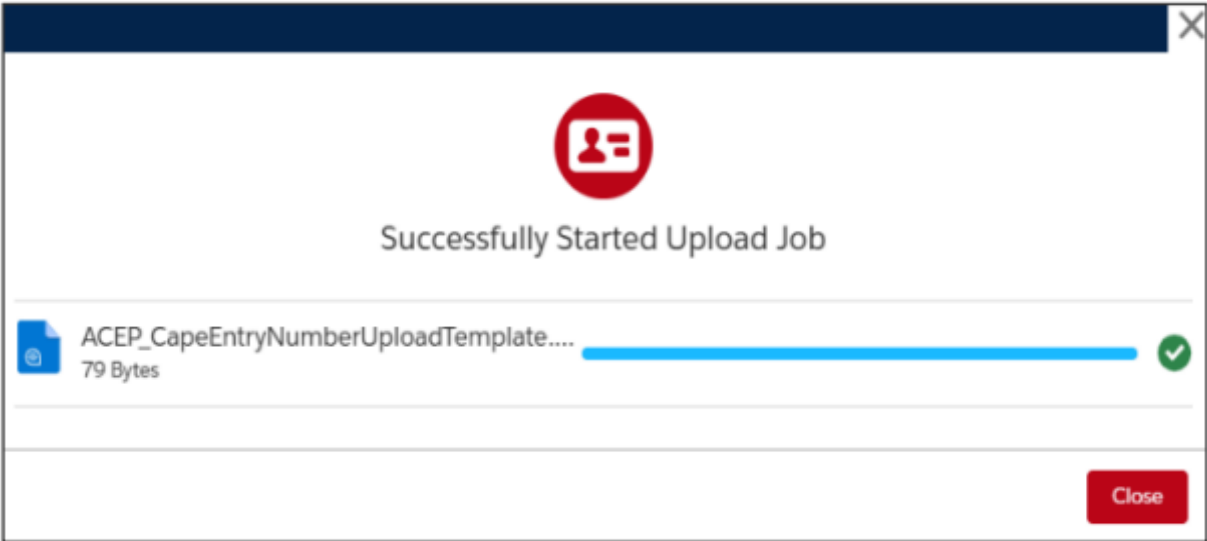
1. Download this template below  
[CAPE Upload Template](#)
2. Add your data to the template file  
*Enable macros in Excel so that the .csv file is generated on save*
3. Acknowledge  
 I attest to the best of my knowledge and belief that: (1) the country of origin, entry type, Harmonized Tariff Schedule of the United States (HTSUS) classification(s), and valuation for each entry number is true and correct; and (2) the goods were not entered in violation of any applicable United States law, order, or rule. I understand that if I make or cause others to make material false statements or omissions to CBP, including in connection with a request for tariff reimbursement, I may be subject to criminal prosecution and civil liability, including but not limited to under 18 U.S.C. §§ 1001, 542, 545, 19 USC § 1592, and 31 U.S.C. § 3729(a).
4. Upload your file for processing  
 Or Drop File  
Note: File cannot exceed 1MB

# Was my CAPE Declaration Accepted?

You will see your upload in progress...



...and if your upload was successful...



...and if your Declaration is validated, you'll receive an accepted status message and a claim number.

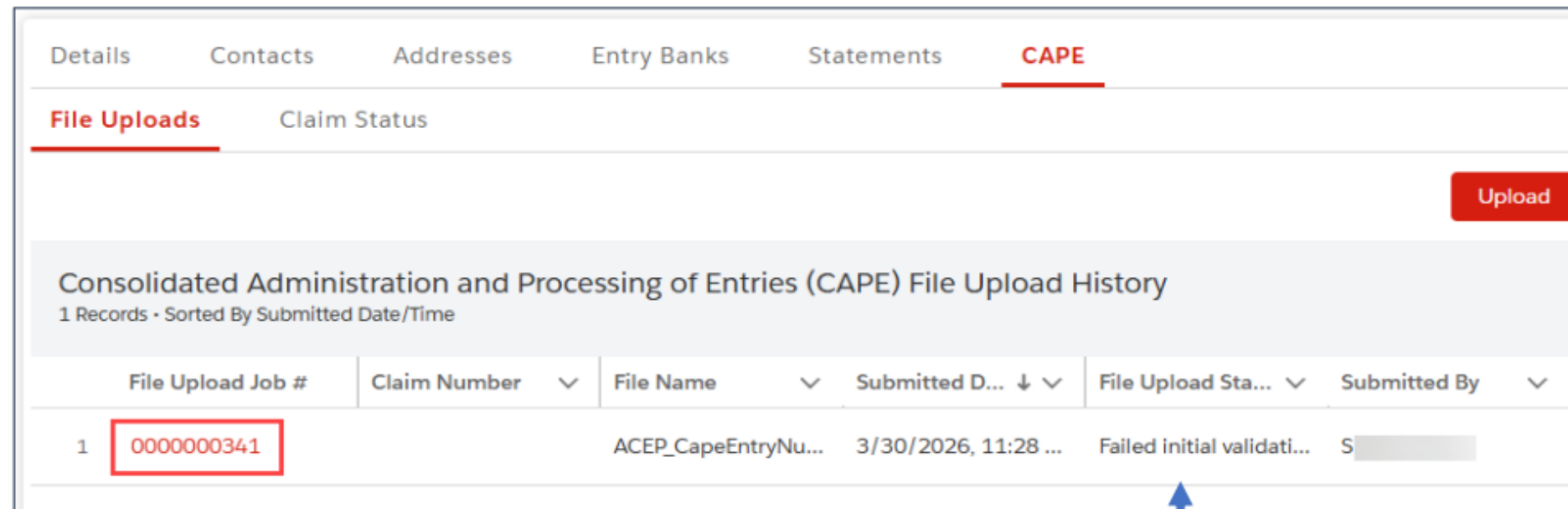
Consolidated Administration and Processing of Entries (CAPE) File Upload History						
17 Records - Sorted By Submitted Date/Time						
File Upload Job #	Claim Number	File Name	Submitted Date/Time	File Upload Status	Submitted By	
1	0000000339	100000013568	Importer CAPE Demo Import...	3/30/2026, 11:15 AM	Accepted	

**You have a CAPE Declaration!**



# What if my CAPE Declaration Wasn't Validated?

If your Declaration was not validated...



...you'll receive an error message...

	A	B	C
1	Not 11 characters long	Duplicate entry numbers	Filer code not matching
2	0S2-12345	0S2-12345678	0S3-12345679
3			

...and you can download an Excel file that catalogs the errors

Find full details in CBP's **Quick Reference Guide** available here:



Submission

Once you fix the errors, you can upload your .csv file again.

# What if my CAPE Declaration Was Accepted but with Errors?

If your Declaration was accepted but with error(s)...

Details Contacts Addresses Declarations Entry Banks National Permits License Information Canceled Local Permits Statements **CAPE**

File Uploads **Claim Status**

Consolidated Administration and Processing of Entries (CAPE)  
1 Records · Sorted By Submitted Date/Time

Claim Number	Submitted Date/Time ↓	Claim Status ↓	# of Successes ↓	# of Errors ↓
1 1000001752 ↻	4/20/2026, 01:43 PM	Accepted with Error(s)	244	143

	A	B	C	D
1	CLAIM_NUMBER	ENTRY_NUMBER	STATUS	ERROR_DESCRIPTION
2	100000000001	DE612345671	Entry Summary Updated	
3	100000000001	DE612345672	Entry Summary Updated	
4	100000000001	DE612345673	Failed	ENTRY SUMMARY IS IN FINAL LIQUIDATION STATUS
5	100000000001	DE612345674	Failed	NO IEEPA HTS ON ENTRY
6	100000000001	DE612345675	Failed	HTS RELATIONSHIP / SEQUENCE MISMATCH
7	100000000001	DE612345676	Failed	UNABLE TO CALCULATE DUTY
8	100000000001	DE612345677	Failed	GOODS VALUE AMOUNT NOT ALLOWED ON IEEPA HTS LINE

The accepted entries will move forward in the claim. The rejected/failed entries require resolution and movement to a subsequent CAPE declaration

# CAPE PHASE 1 Eligibility

CAPE Phase 1 ***will process and refund*** entries—

- Either unliquidated or up to 80 days past their liquidation date

CAPE Phase 1 ***will accept***, but not immediately refund/liquidate entries—

- With a liquidation status of suspended, extended, or under review
- Warehouse and warehouse withdrawal

CAPE Phase 1 ***will not process or accept*** entries—

- For which liquidation is final
- Flagged for reconciliation, Entry Type 09 - Reconciliation Summary
- On a drawback claim
- Covered by an open protest
- Not filed in ACE or without a liquidation status in ACE
- Subject to Antidumping/Countervailing Duties (AD/CVD), for which Department of Commerce has issued liquidation instructions, that are pending liquidation

# CAPE Resources & Contact Information


## Resources:

- [Trade Information Notice: CAPE | U.S. Customs and Border Protection](#)
- [Outreach Presentation: IEEPA Duty Refunds and CAPE | U.S. Customs and Border Protection](#)
- [ACE Portal: CAPE Declarations | U.S. Customs and Border Protection](#)
- [Fact Sheet: IEEPA Duty Refunds | U.S. Customs and Border Protection](#)

## Contact Information:

- Technical questions about IEEPA refunds: [IEEPARefunds@cbp.dhs.gov](mailto:IEEPARefunds@cbp.dhs.gov)
- General inquiries: [traderelations@cbp.dhs.gov](mailto:traderelations@cbp.dhs.gov)
- Technical issues: CBP Technology Service Desk at 1-800-927-8729 or [cbp.technology.service.desk@cbp.dhs.gov](mailto:cbp.technology.service.desk@cbp.dhs.gov)

# Next Steps

- ✓ Obtain / Ensure access to ACE Portal
  - ✓ Ensure Import Subaccount
  - ✓ Set up ACH Refund
  - ✓ Perform Analysis on IEEPA entries
  - ✓ Determinee Phase 1 eligible entries
  - ✓ Submit CAPE declaration
  - ✓ Download declaration and review acceptance / rejections
  - ✓ Monitor for liquidation
  - ✓ Monitor for consolidation refund
- 

# THANK YOU



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